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PTO/SB/05 (08-00)

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## UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No.	SUN-P5499	بر ر <u>د</u>	
First Inventor	John Lo	พ	
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(	Only for new homprovisional applications under 37 Or 13.33(b))	UI VI					
Ö	APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.	ADDRESS TO:  Assistant Commissioner for Paten Box Patent Application Washington, DC 20231					
1. 2.	Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing)  Applicant claims small entity status. See 37 CFR 1.27.	CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)      Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)					
3.	Specification [Total Pages 21]  (preferred arrangement set forth below)  - Descriptive title of the Invention  - Cross References to Related Applications  - Statement Regarding Fed sponsored R & D  - Reference to sequence listing, a table, or a computer program listing appendix  - Background of the Invention  - Brief Summary of the Invention  - Brief Description of the Drawings (if filed)  - Detailed Description  - Claim(s)	a. Computer Readable Copy (CRF) b. Specification Sequence Listing on: i. CD-ROM or CD-R (2 copies); or ii paper c. Statement verifying identity of above copies  ACCOMPANYING APPLICATION PARTS  9. Assignment Papers (cover sheet & document(s))					
4.	- Claim(s) - Abstract of the Disclosure  Drawing(s) (35 U.S.C. 113) [Total Sheets 3]	10. 37 C.F.R. §3.73(b) Statement Power of Attorney (when there is an assignee) (Combined with Declaration)  11. English Translation Document (if applicable)					
5.	Oath or Declaration [Total Pages 2 ]  a. Newly executed (original or copy)	12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations					
	b. Copy from a prior application (37 C.F.R. § 1 63(d))  (for continuation/divisional with Box 17 completed)  i. DELETION OF INVENTOR(S)	13. Preliminary Amendment  14 Return Receipt Postcard (MPEP 503)					
į	Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).	(Should be specifically itemized)  15. Certified copy of Priority Document(s) (if foreign priority is claimed)  16. Other: Credit Card Payment Form					
6.	Application Data Sheet. See 37 CFR 1.76.	Other:					
For	17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in-part (CIP) Of prior application No  Group Art Unit  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.						
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		- Landau and the same of the s					
	Name (Print/Type) Daniel E. Vaughan	Registration No. (Attorney/Agent) 42,199					
	Signature 15 V	Date February 28, 2002					

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## FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision

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Complete if Known

Application Number Unassigned

Filing Date February 28, 2002

First Named Inventor John Lo

Examiner Name Unassigned

Group Art Unit Unassigned

Attorney Docket No SUN-P5499

TOTAL AMOUNT OF PAYMENT (\$) 1,254 FEE CALCULATION (continued) METHOD OF PAYMENT (check one) 1. 3. ADDITIONAL FEES The Commissioner is hereby authorized to charge LargeEntity Small Entity indicated fees and credit any overpayment to Deposit Fee Fee Fee Fee Fee Description Fee Paid Account Code (\$) Code (\$) 50-1801 Number 105 130 205 65 Surcharge - late filing fee or oath Deposit Account 127 50 227 25 Surcharge - late provisional filing fee or Park, Vaughan & Fleming LLP Name cover sheet Charge any Additional Fee Required 139 130 139 130 Non-English specification Under 37 CFR 1 16 and 1 17 2520 147 2520 For filing a request for ex parte reexamination 147 Applicant claims small entity status See 37 CFR 1 27 920\* 112 920 Requesting publication of SiR prior to Examiner action Payment Enclosed: 1840\*113 1840\* Requesting publication of SIR after 113 ☑Credit card ☐ Money ☐ ☐ Check Other Examiner action Order 110 215 Extension for reply within first month 115 55 **FEE CALCULATION** 116 400 216 200 Extension for reply within second month **BASIC FILING FEE** 920 217 460 Extension for reply within third month Large Entity Small Entity Fee Paid Fee Fee Fee Fee Description 1440 218 720 Extension for reply within fourth month Code (\$) Code (\$) 740 128 1960 228 980 Extension for reply within fifth month 740 201 370 Utility filing fee 320 160 119 219 Notice of Appeal 106 330 206 165 Design filing fee 320 120 220 160 Filing a brief in support of an appeal 107 510 207 255 Plant filing fee 280 121 221 140 Request for oral hearing 108 740 208 370 Reissue filing fee 138 1510 138 1510 Petition to institute a public use proceeding 114 160 214 80 Provisional filing fee Petition to revive - unavoidable 140 110 240 55 SUBTOTAL (1) 740 (\$) 1280 241 640 Petition to revive - unintentional 141 1280 242 640 Utility issue fee (or reissue) 142 **EXTRA CLAIM FEES** Fee from 143 460 243 230 Design issue fee Fee Paid below 37 17 18 306 620 244 310 Plant issue fee Total Claims Х -20 -3 Х Independent 84 168 130 130 122 122 Petitions to the Commissioner 0 123 50 123 50 Petitions related to provisional applications Multiple Dependent 126 180 126 180 Submission of Information Disclosure Stmt \*\*or number previously paid, if greater, For Reissues, see below 581 40 581 40 Recording each patent assignment per 40 Large Entity Small Entity property (times number of properties) Fee Fee Fee Fee Fee Description Code (\$) 740 Code (\$) 146 246 370 Filing a submission after final rejection (37 CFR 1 129(a)) 103 18 203 9 Claims in excess of 20 149 740 249 370 For each additional invention to be 102 84 202 42 Independent claims in excess of 3 examined (37 CFR 1 129(b)) 104 280 204 140 Multiple dependent claim, if not paid 740 279 370 Request for Continued Examination (RCE) 84 209 42 \*\* Reissue independent claims 109 over original patent 900 169 900 Request for expedited examination 169 110 18 210 9 \* Reissue claims in excess of 20 of a design application and over original patent Other fee (specify) (\$) 40 (\$) 474 SUBTOTAL (3) SUBTOTAL (2) \* Reduced by Basic Filing Fee Paid

SUBMITTED BY				Complete (if applicable)		
Name (Print/Type)	Daniel E. Vaughan	Registration No. , (Attorney/Agent)	42,199	Telephone	650-474-1973	
Signature	Damel E. Vary	h_		Date	February 28, 2002	

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